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## Production of the secondary metabolites $\gamma$ -aminobutyric acid and monacolin K by *Monascus*

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**Abstract**  $\gamma$ -Aminobutyric acid (GABA), a hypotensive agent, and monacolin K, a cholesterol-lowering drug, can be produced by *Monascus* spp. Under optimal culture conditions, the products of fermentation using *Monascus* spp. may serve as a multi-functional dietary supplement and can prevent heart disease. In this study, *Monascus purpureus* CCRC 31615, the strain with the highest amount of monacolin K, was identified from 16 strains using solid fermentation. Its GABA productivity was particularly high. Addition of sodium nitrate during solid-state fermentation of *M. purpureus* CCRC 31615 improved the productivity of monacolin K and GABA to 378 mg/kg and 1,267.6 mg/kg, respectively. GABA productivity increased further to 1,493.6 mg/kg when dipotassium hydrophosphate was added to the medium.

**Keywords** *Monascus* sp. ·  $\gamma$ -Aminobutyric acid · Monacolin K

### Introduction

Red mold rice has been used as a part of Chinese food for thousands of years and has also been considered an essential part of wine making and other fermented food products. There are many reports on the medical effects of red mold rice both as a folk remedy and in scientific publications [7, 14, 25]. Red mold rice fermented using *Monascus* spp. is effective in decreasing blood pressure [20], lowering plasma cholesterol levels [3, 4], and has antibacterial activity [24].  $\gamma$ -Aminobutyric acid (GABA) has several physiological functions, such as

neurotransmitting, hypotensive, and diuretic effects [8, 21]. GABA is produced by the decarboxylation of glutamic acid by a glutamate decarboxylase. In the process of making red mold rice, glutamic acid is produced from steamed rice by an acid protease and an acid carboxypeptidase that are secreted upon growth of *koji* mold [17]. Red mold rice contains a large amount of GABA and possesses anti-hypertensive effects for humans [10]. GABA productivity, which can be measured, is considered to have a major effect in lowering blood pressure.

Monacolin K is a secondary metabolite of *Monascus* strains. Endo [4] discovered that *M. ruber* produces an active methylated form of compactin known as monacolin K (lovastatin; mevinolin) in liquid fermentation. Monacolin K functions as an inhibitor of 3-hydroxy-3-methylglutaryl-coenzyme A reductase, which is the regulatory and rate-limiting enzyme of cholesterol biosynthesis [1]. The fact that red mold rice can suppress the synthesis of cholesterol has also been confirmed [16]. High blood cholesterol leads to atherosclerosis and is a causal factor in many types of coronary heart disease, a leading cause of human death. These findings have been widely noted in medical circles [12]. Further research has established that substances with similar molecular structures have the same effect [15].

This study focused on screening the pharmacologically active compounds from a series of *Monascus* strains with the aim of identifying a strain with maximum productivity of both chemicals.

### Materials and methods

#### Microorganism and growth conditions

Screening for GABA and monacolin K production was carried out on 16 species and strains of the genus *Monascus*, purchased from the Culture Collection and Research Center (CCRC) and kept in our laboratory (*M. ruber* CCRC 31538, *M. purpureus* CCRC 31497, 31498, 31499, 31501, 31504, 31530, 31540, 31542, 31615, 32966, *Monascus* sp. CCRC 32807, 32808, 32809 and other *M. anka* M-13, *Monascus* sp. S2). The cultures were maintained on potato dextrose agar (PDA) slants at 10°C, and transferred monthly.

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## Chemicals

GABA, monacolin K and citrinin were purchased from Sigma (St. Louis, Mo.). LC grade acetonitrile was purchased from Merck (Darmstadt, Germany). Tryptone, yeast extract, peptone, malt extract, PDA broth and Bacto-agar were purchased from Difco (Detroit, Mich.). Reagent grade ethyl acetate was purchased from ALPS (Taiwan).

## Seed cultures

Seed cultures were prepared by transferring a loopful of spores from a PDA agar slant into a 500 ml Hinton flask containing 100 ml basal medium (100 g dextrose, 10 g peptone, 2 g KNO<sub>3</sub>, 2 g NH<sub>4</sub>H<sub>2</sub>PO<sub>4</sub>, 0.5 g MgSO<sub>4</sub>·7H<sub>2</sub>O, 0.1 g CaCl<sub>2</sub> in 1,000 ml distilled water; pH adjusted to 6.0 [9, 19]). Cultures were incubated at 30°C for 48 h at 110 rpm. A 5% inoculum was then transferred to submerged or solid-state fermentation medium.

## Submerged culture for GABA and monacolin K production

Submerged culture GABA production was carried out in 500 ml Hinton flasks containing 100 ml medium (20 g rice powder, 40 g glucose, 10 g monosodium L-glutamate (MSG), 10 g peptone in 1,000 ml distilled water; pH 5.0) [22]. The flasks were incubated at different temperatures (25°C, 30°C, 37°C) on a rotary shaker at 110 rpm for 14 days. The GABA concentration was measured at intervals. Submerged culture monacolin K production was carried out in 500 ml Hinton flasks containing 120 ml medium (60 g glucose, 25 g peptone, 5 g corn steep liquor, 5 g ammonium chloride in 1,000 ml distilled water) [3]. The flasks were incubated at 30°C for 14 days on a rotary shaker at a speed of 110 rpm. The monacolin K concentration was measured at intervals.

## Solid-state culture for GABA and monacolin K production

Long-grain rice was purchased from a local supermarket and was used as the substrate for monacolin K and GABA production under investigation, rice substrate was prepared as follows: 500 g rice was soaked in distilled water for 8 h. Water was then removed using a sieve. The soaked rice was autoclaved for 20 min at 121°C in a “koji-dish” (the koji-dish is made of wood, dimensions 30×20×5 cm). After being cooled, the rice substrate was inoculated with a 5% spore suspension of a *Monascus* sp. and the inoculated substrate was cultivated at 30°C for 14 days. After cultivation, monacolin K and GABA concentrations were measured [19].

## Effect of medium components on GABA and monacolin K production

The effect of the nitrogen source (peptone, ammonium sulfate, sodium nitrate, MSG, or yeast extract) and inorganic salts (potassium dihydrogen phosphate, magnesium sulfate, calcium chloride, manganese sulfate) on GABA and monacolin K production in solid-state culture was analyzed. After the rice was sterilized and cooled, various nitrogen sources (1%) and inorganic salts (0.1%) were added individually to determine their effect on GABA and monacolin K production.

## Determination of the monacolin K concentration

Methods for determination of the monacolin K concentration under different conditions were according to Wang et al. [23].

## Submerged culture

Fermented broth (5 ml) from the flasks was homogenized and adjusted to pH 3.0 with 2 N H<sub>3</sub>PO<sub>4</sub> and then extracted with 5 ml

ethyl acetate. The extract was centrifuged at 3,000 g for 8 min. The supernatant (1 ml) was mixed with trifluoroacetic acid (10 ml, 1%) for lactonization of the monacolin K. The resulting mixture was then concentrated to dryness under reduced pressure. The residue was resuspended in 1 ml acetonitrile for HPLC analysis.

## Solid-state culture

Mature red mold rice (1 g) was suspended in 5 ml ethyl acetate at 70°C for 1.5 h. The suspension was then filtered through filter paper. The filtrate was evaporated under vacuum. After lactonization, the resulting mixture was added to 1 ml acetonitrile and subjected to preparatory HPLC analysis.

## Chromatographic conditions

Chromatographic separation was achieved on a Beckman Ultrasphere ODS column (150×4.6 mm ID). Acetonitrile:water (72:28, v/v) was used as the mobile phase. The eluent was pumped at a flow-rate of 0.5 ml/min. The UV detector was at 238 nm.

## Determination of the GABA concentration

GABA concentrations were determined as in [11]. Mature red mold rice (5 g) was soaked in 40 ml 60% ethanol solution at 25°C for 24 h. After filtration (0.45 µm), the filtrate was analyzed using a Beckman 6300 amino acid analyzer (column: spherical cation-exchange resin 10 cm, Lithium column PN 338051).

## Qualitative analysis of citrinin using thin-layer chromatography

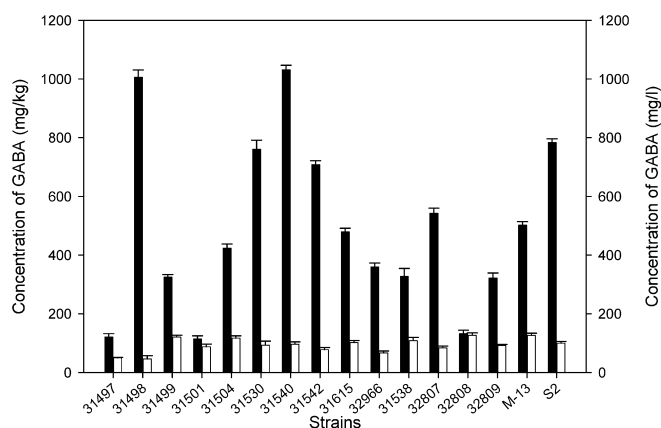
Thin layer chromatography (TLC) of citrinin was performed according to [2]. Red mold rice was extracted with acetonitrile. The filtered extract was twice defatted using iso-octane. After adding an equal amount of water and acidifying to pH 4.5 with H<sub>2</sub>SO<sub>4</sub> (50:50, v/v), the extract was partitioned with CHCl<sub>3</sub>. The lower phase was evaporated to dryness, and then dissolved in methanol before analyzing by TLC. TLC was performed on 10×20 cm aluminum sheets pre-coated with silica gel 60. Prior to analysis, the plates were impregnated with oxalic acid (10% in methanol). Citrinin and samples were spotted on silica gel plates. Chloroform-acetone (90:10, v/v) followed by toluene-ethyl acetate-formic acid (60:30:10, v/v/v) were used to conduct two-dimensional analysis.

## Results

### Screening of strains

By using submerged culture or solid culture on the sixteen strains it was possible to examine initially the productivity of GABA and monacolin K (Figs. 1, 2). More GABA was produced in solid culture than in submerged culture (Fig. 1), with a maximum production of 1,031.13 mg/kg (*M. purpureus* CCRC 31540). Figure 2 shows that, except for strains of *M. purpureus* CCRC 31499, *M. purpureus* CCRC 31615, and *M. anka* M-13, the productivity of monacolin K was not very high (< 100 mg/kg) for the remaining 13 strains, regardless of whether they were cultivated in solid state or submerged culture. The highest productivity of monacolin K in the 16 strains was *M. purpureus* CCRC 31615 strain, with a yield of 237 mg/kg.

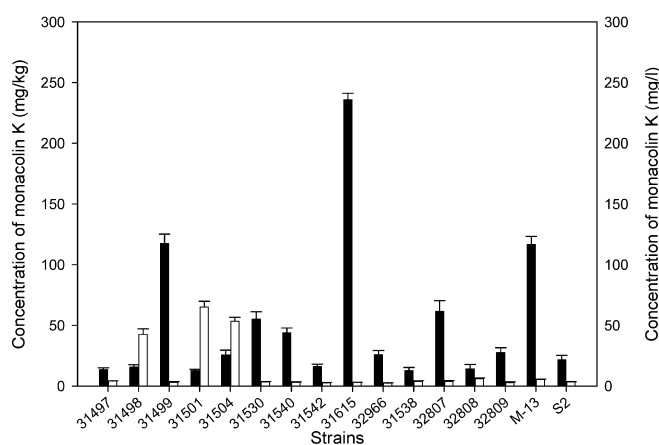
Monacolin K productivity was not as high as that of GABA when these 16 *Monascus* strains were used (Figs. 1, 2). GABA was produced in both solid state and



**Fig. 1**  $\gamma$ -Aminobutyric acid (GABA) concentration in solid (closed squares) and submerged (open squares) cultures. The values represent the mean  $\pm$  SD ( $n=3$ )

submerged cultures. However, different strains resulted in varying monacolin K productivity (Fig. 2). Solid state cultivation always produced more monacolin K and GABA than submerged cultivation, perhaps because monacolin K and GABA were more stable and were easily released from rice grains under conditions of solid state cultivation, while submerged cultivation resulted in accumulation of both monacolin K and GABA on the mycelium. The yield of *Monascus* pigment from solid cultivation was higher than submerged cultivation as pointed out by Johns and Stuart [6]. Although both *Monascus* pigment and monacolin K are polyketides, it has yet to be determined whether monacolin K will have the same effect as *Monascus* pigment.

Since *M. purpureus* CCRC 31615 produced the highest amount of monacolin K and a significant amount of GABA, *M. purpureus* CCRC 31615 was selected as the target strain for further study. Furthermore, *M. purpureus* CCRC 31615 accumulated ten amino acids in solid-state cultures (Table 1). The relative amounts of amino acids produced were in the order: Ala, Glu, GABA, Gln, Asp, Ser, Cys, Aln, Orn, Lys.



**Fig. 2** Monacolin K concentration in solid (closed squares) and submerged (open squares) cultures. The values represent the mean  $\pm$  SD ( $n=3$ )

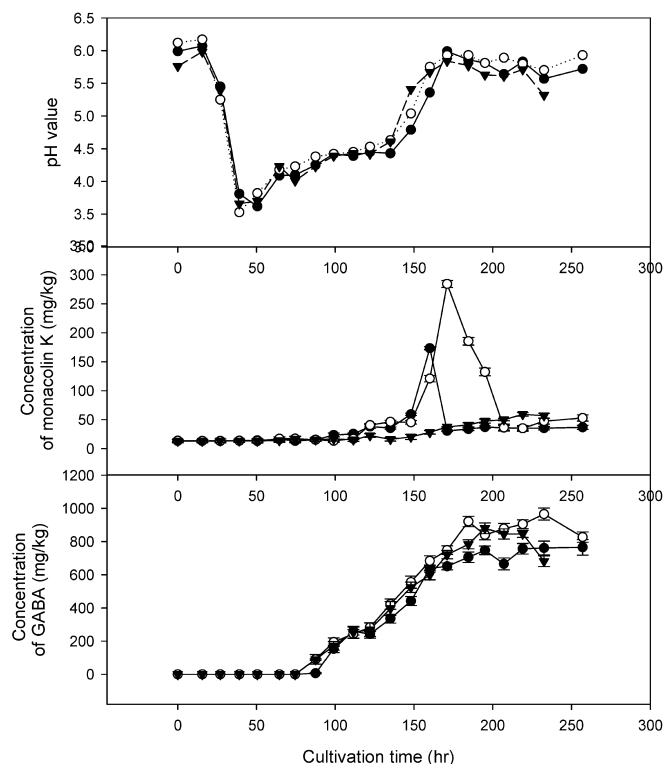
The solid state medium used in this study was rice. Rice contains sufficient carbon sources, therefore further optimization efforts focused on various nitrogen sources and inorganic salts.

#### Effect of inoculum size on the productivity of GABA and monacolin K

Inocula (20, 25, and 30 ml) were administered to the prepared rice (500 g). After 10 days at 30°C, the productivity of GABA and monacolin K were measured and are shown in Fig. 3. Inoculum size had little effect

**Table 1** Production of amino acids by *Monascus purpureus* CCRC 31615. GABA  $\gamma$ -Aminobutyric acid

Amino acid	Composition (%)	Production ( $\mu\text{mol/g}$ )
Asp	6.81	4.45
Ser	5.00	2.31
Glu	15.50	10.53
Gln	13.01	11.03
Aln	3.59	1.29
Ala	16.12	7.57
Cys	3.93	2.26
GABA	14.36	4.70
Orn	3.53	1.23
Lys	2.45	1.13
Other	15.70	—



**Fig. 3** Effect of inoculum size on the production of GABA and monacolin K by *Monascus purpureus* CCRC 31615. Medium: rice, culture conditions: 30°C, inoculum size: 20 ml (closed circles); 25 ml (open circles); 30 ml (closed triangles). The values represent the mean  $\pm$  SD ( $n=3$ )

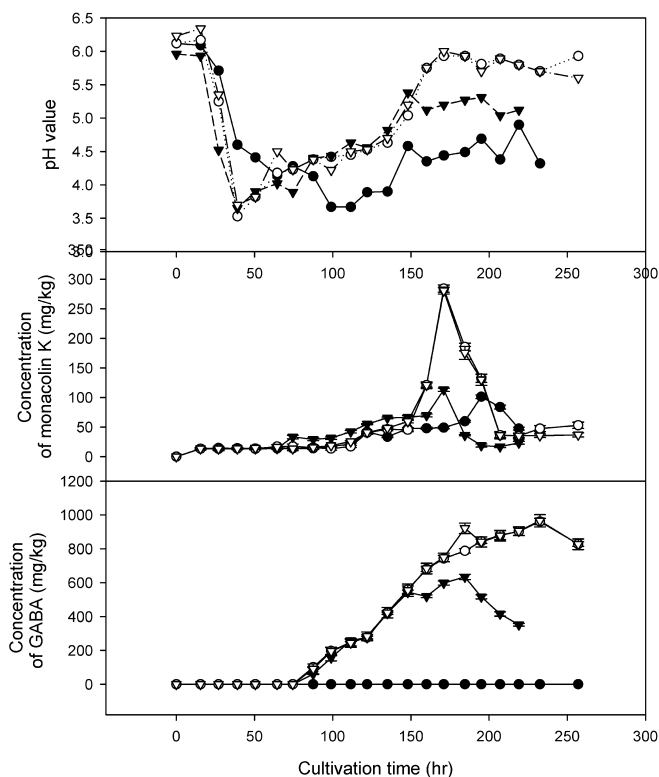
on GABA productivity. When a 25 ml (5%) inoculum was used, the yield of GABA reached 965.71 mg/kg. In contrast, inoculum size had a significant effect on the productivity of monacolin K. Inoculation with 25 ml resulted in a significant increase in monacolin K productivity with more than 280 mg/kg produced.

#### Effect of temperature on GABA and monacolin K productivity

Because *M. purpureus* CCRC 31615 performed poorly at 25°C (Fig. 4), GABA production was low at that temperature. However, when the temperature reached 30°C, the yield of GABA jumped to 961.35 mg/kg. In contrast, at 37°C for 150 h, the yield of GABA decreased, perhaps because GABA decomposed quickly above 30°C. Furthermore, a higher yield of monacolin K was achieved at 30°C (Fig. 4). Consequently, 30°C is the optimal temperature for *M. purpureus* CCRC 31615 strain to produce GABA or monacolin K.

#### Effect of nitrogen source on GABA and monacolin K productivity

The effects on GABA and monacolin K productivity of adding various nitrogen sources (to 1%) are shown in



**Fig. 4** Effect of temperature on the production of GABA and monacolin K by *M. purpureus* CCRC 31615 Medium: rice, inoculum size: 25 ml/500 g, 25°C (closed circles); 30°C (open circles); 37°C (closed triangles); control (open triangles). The values represent the mean  $\pm$  SD ( $n=3$ )

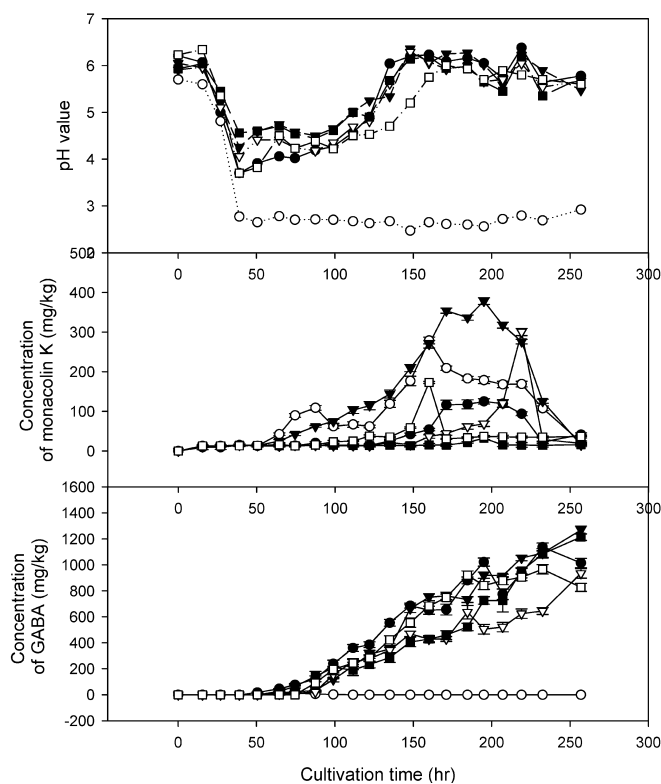
Fig. 5. Ammonium sulfate did not contribute to GABA production. Rather, the pH value was lower than 3.0 throughout the entire process, and only yellow and orange pigments were produced.

Other nitrogen sources, like peptone, sodium nitrate, MSG, and yeast extract, contributed to the production of GABA, which reached about 1,000 mg/kg with a yield of 1,267.6 mg/kg when sodium nitrate was added. On the other hand, adding MSG reduced the yield of monacolin K to zero, whereas adding ammonium sulfate had no effect on monacolin K yield. Sodium nitrate was the optimum nitrogen source for *M. purpureus* CCRC 31615 as it resulted in the highest productivity for both monacolin K and GABA.

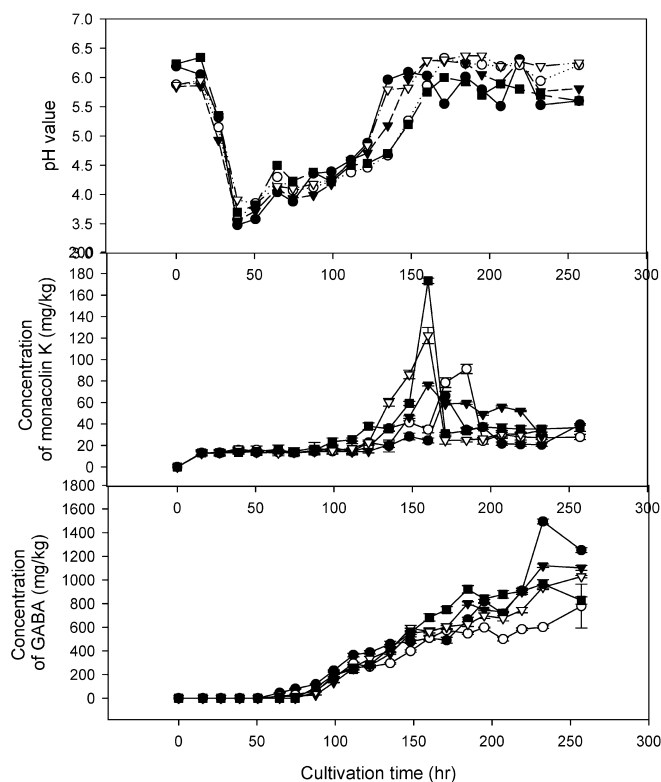
#### Effect of inorganic salts on GABA and monacolin K productivity

The addition of 0.1% of various inorganic salts to the basic medium positively affected GABA and monacolin K productivity (Fig. 6).

To produce GABA only, the addition of the inorganic salts  $K_2HPO_4$ ,  $CaCl_2 \cdot 2H_2O$  and  $MnSO_4 \cdot 4H_2O$  could be helpful. When  $NaNO_3$  and  $MnSO_4 \cdot 4H_2O$  were



**Fig. 5** Effect of various nitrogen sources on the production of GABA and monacolin K by *M. purpureus* CCRC 31615 Medium: rice, culture conditions: 30°C, nitrogen source concentration: 1% peptone (closed circles);  $(NH_4)_2SO_4$  (open circles);  $NaNO_3$  (closed triangles); yeast extract (open triangles); monosodium L-glutamate (MSG) (closed squares); control (open squares). The values represent the mean  $\pm$  SD ( $n=3$ )



**Fig. 6** Effect of inorganic salts on the production of GABA and monacolin K by *M. purpureus* CCRC 31615. Medium: rice, culture conditions: 30°C, mineral concentration: 0.1%  $\text{KH}_2\text{PO}_4$  (closed circles);  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  (open circles);  $\text{CaCl}_2 \cdot 7\text{H}_2\text{O}$  (closed triangles);  $\text{MnSO}_4 \cdot 4\text{H}_2\text{O}$  (open triangles; control (closed squares). The values represent the mean  $\pm$  SD ( $n=3$ )

both added to the basic medium (Fig. 7), GABA productivity reached 1,396.04 mg/kg, while monacolin K productivity was still very low.

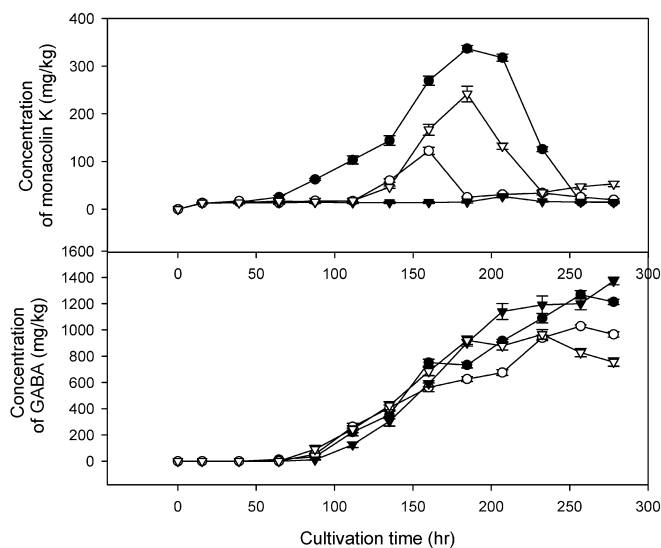
#### Detection of citrinin during solid and submerged culture

TLC analysis showed that no citrinin was detected in either solid or submerged culture (data not shown).

### Discussion

Analysis of the 16 strains in submerged culture and solid culture for both GABA and monacolin K productivity resulted in the choice of solid culture over submerged culture. *M. purpureus* CCRC 31615 was selected as the best strain for further study because it produced the highest amount of both GABA and monacolin K in solid culture. GABA production reached 1,396.04 mg/kg and monacolin K production reached 26.77 mg/kg when the basic medium was supplemented with both  $\text{NaNO}_3$  and  $\text{MnSO}_4 \cdot 4\text{H}_2\text{O}$ .

Citrinin, a nephrotoxic agent, is produced by *M. purpureus* or *M. ruber* in both submerged and solid-state cultures. According to Blanc et al. [2, 5], there is



**Fig. 7** Effect of addition of  $\text{NaNO}_3$  and  $\text{MnSO}_4 \cdot 4\text{H}_2\text{O}$  on the production of GABA and monacolin K by *M. purpureus* CCRC 31615. Medium: rice, culture conditions: 30°C, 1%  $\text{NaNO}_3$  (closed circles); 0.1%  $\text{MnSO}_4 \cdot 4\text{H}_2\text{O}$  (open circles); 1%  $\text{NaNO}_3$  + 0.1%  $\text{MnSO}_4 \cdot 4\text{H}_2\text{O}$  (closed triangles); control (open triangles). The values represent the mean  $\pm$  SD ( $n=3$ )

some risk of citrinin contamination in the fermentation process. However, it could be avoided either by detoxification of the red mold rice, use of a species that does not produce citrinin or by adjusting the fermentation conditions for citrinin-free production. Nevertheless, no citrinin was detected in this study, perhaps because the citrinin concentration was below the level of detection by TLC. Further analysis using HPLC with UV/fluorescence detection or enzyme immunoassays would clarify the existence of citrinin [18].

In this study, a maximum amount of 378 mg/kg of monacolin K was produced. Although this is less than reported in other studies [13], this study employed a different approach in that *M. purpureus* combined with solid state cultivation to seek optimum conditions for producing maximum amounts of both monacolin K and GABA. Although the proposed method did not yield maximum productivity of monacolin K, it resulted in a significant amount of GABA. Furthermore, the purpose of this study was to provide a means to produce food products by direct fermentation on a nutraceutical containing both active ingredients rather than the production of the individual medicinal components. In short, this study lays an emphasis on a dietary product that could be directly consumed.

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